

SCIP #12  
GRANT

APPLICATION FOR FINANCIAL ASSISTANCE  
Revised 4/99

**IMPORTANT:** Please consult the "Instructions for Completing the Project Application" for assistance in completion of this form.

SUBDIVISION: City of Reading CODE# 061-65732

DISTRICT NUMBER: 2 COUNTY: Hamilton DATE 08 / 30 / 08

CONTACT: Jennifer L. Vatter PHONE # (513) 721-5500

(THE PROJECT CONTACT PERSON SHOULD BE THE INDIVIDUAL WHO WILL BE AVAILABLE ON A DAY-TO-DAY BASIS DURING THE APPLICATION REVIEW AND SELECTION PROCESS AND WHO CAN BEST ANSWER OR COORDINATE THE RESPONSE TO QUESTIONS)

FAX (513) 721-0607 E-MAIL jvatter@jmaconsult.com

PROJECT NAME: North & South Kathwood Improvements

**SUBDIVISION TYPE**

(Check only 1)

- ☐ 1. County  
☒ 2. City  
☐ 3. Township  
☐ 4. Village  
☐ 5. Water/Sanitary District  
(Section 6119 O.R.C.)

**FUNDING TYPE REQUESTED**

(Check All Requested & Enter Amount)

- ☒ 1. Grant \$ 292,500.00  
☐ 2. Loan \$ \_\_\_\_\_  
☐ 3. Loan Assistance \$ \_\_\_\_\_

**PROJECT TYPE**

(Check Largest Component)

- ☒ 1. Road  
☐ 2. Bridge/Culvert  
☐ 3. Water Supply  
☐ 4. Wastewater  
☐ 5. Solid Waste  
☒ 6. Stormwater

TOTAL PROJECT COST: \$ 585,000.00

FUNDING REQUESTED: \$ 292,500.00

**DISTRICT RECOMMENDATION**

To be completed by the District Committee ONLY

GRANT: \$ 292,500

SCIP LOAN: \$ \_\_\_\_\_

RLP LOAN: \$ \_\_\_\_\_

LOAN ASSISTANCE: \$ \_\_\_\_\_

RATE: \_\_\_\_\_ % TERM: \_\_\_\_\_ yrs.

RATE: \_\_\_\_\_ % TERM: \_\_\_\_\_ yrs.

(Check only 1)

- ☒ State Capital Improvement Program  
☐ Local Transportation Improvements Program

☐ Small Government Program

**FOR OPWC USE ONLY**

PROJECT NUMBER: C \_\_\_\_\_ / C \_\_\_\_\_

Local Participation \_\_\_\_\_ %

OPWC Participation \_\_\_\_\_ %

Project Release Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

OPWC Approval: \_\_\_\_\_

APPROVED FUNDING: \$ \_\_\_\_\_

Loan Interest Rate: \_\_\_\_\_ %

Loan Term: \_\_\_\_\_ years

Maturity Date: \_\_\_\_\_

Date Approved: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

SCIP Loan \_\_\_\_\_ RLP Loan \_\_\_\_\_

OFFICE OF NEW BURLINGTON  
COUNTY ENGINEER  
2008 SEP 19 PM 12:27

**1.0 PROJECT FINANCIAL INFORMATION**

<b>1.1 PROJECT ESTIMATED COSTS:</b> (Round to Nearest Dollar)	<b>TOTAL DOLLARS</b>	<b>FORCE ACCOUNT DOLLARS</b>
<b>a.) Basic Engineering Services:</b>	<b>\$ _____ .00</b>	
Preliminary Design	\$ _____ .00	
Final Design	\$ _____ .00	
Bidding	\$ _____ .00	
Construction Phase	\$ _____ .00	
 Additional Engineering Services	 \$ _____ .00	
*Identify services and costs below.		
 <b>b.) Acquisition Expenses:</b>		
Land and/or Right-of-Way	\$ _____ .00	
 <b>c.) Construction Costs:</b>	 \$ <u>585,000</u> .00	
 <b>d.) Equipment Purchased Directly:</b>	 \$ _____ .00	
 <b>e.) Permits, Advertising, Legal:</b>	 \$ _____ .00	
(Or Interest Costs for Loan Assistance Applications Only)		
 <b>f.) Construction Contingencies:</b>	 \$ _____ .00	
 <b>g.) TOTAL ESTIMATED COSTS:</b>	 \$ <u>585,000</u> .00	

**\*List Additional Engineering Services here:**  
**Service:**

**Cost:**

## 1.2 PROJECT FINANCIAL RESOURCES:

(Round to Nearest Dollar and Percent)

	DOLLARS	%
a.) Local In-Kind Contributions	\$ <u>          .00</u>	
b.) Local Revenues	\$ <u>292,500 .00</u>	<u>50</u>
c.) Other Public Revenues	\$ <u>          .00</u>	
ODOT	\$ <u>          .00</u>	
Rural Development	\$ <u>          .00</u>	
OEPA	\$ <u>          .00</u>	
OWDA	\$ <u>          .00</u>	
CDBG	\$ <u>          .00</u>	
OTHER _____	\$ <u>          .00</u>	
SUBTOTAL LOCAL RESOURCES:	\$ <u>292,500 .00</u>	<u>50</u>
d.) OPWC Funds		
1. Grant	\$ <u>292,500 .00</u>	<u>50</u>
2. Loan	\$ <u>          .00</u>	
3. Loan Assistance	\$ <u>          .00</u>	
SUBTOTAL OPWC RESOURCES:	\$ <u>292,500 .00</u>	<u>50</u>
TOTAL FINANCIAL RESOURCES:	\$ <u>585,000 .00</u>	<u>100%</u>

## 1.3 AVAILABILITY OF LOCAL FUNDS:

Attach a statement signed by the Chief Financial Officer listed in section 5.2 certifying all local share funds required for the project will be available on or before the earliest date listed in the Project Schedule section.

ODOT PID# \_\_\_\_\_ Sale Date:

STATUS: (Check one)

Traditional

Local Planning Agency (LPA)

State Infrastructure Bank

**2.0 PROJECT INFORMATION**

If project is multi-jurisdictional, information must be consolidated in this section.

**2.1 PROJECT NAME: North & South Kathwood Improvements**

**2.2 BRIEF PROJECT DESCRIPTION - (Sections A through C):**

**A: SPECIFIC LOCATION:**

Project limits are the entire length of North & South Kathwood.

**PROJECT ZIP CODE: 45215**

**B: PROJECT COMPONENTS:**

- 1.) Provide full depth repairs to approximately 20% of pavement
- 2.) Remove unsuitable subgrade material
- 3.) Replace broken & failed concrete curb
- 4.) Overlay pavement with asphaltic concrete
- 5.) Add underdrains to eliminate subsurface water

**C: PHYSICAL DIMENSIONS / CHARACTERISTICS:**

North & South Kathwood is approximately 2820 LF. Width is approximately 28 LF. Numerous base failures, deteriorated curb & pavement failures are evidenced throughout the project.

**D: DESIGN SERVICE CAPACITY:**

Detail current service capacity vs. proposed service level.

Road or Bridge: Current ADT 650 Year: 2002 Projected ADT: \_\_\_\_ Year:

Water/Wastewater: Based on monthly usage of 7,756 gallons per household, attach current rate ordinance. Current Residential Rate: \$\_\_\_\_ Proposed Rate: \$

Stormwater: Number of households served:

**2.3 USEFUL LIFE / COST ESTIMATE: Project Useful Life: 20 Years.**

Attach Registered Professional Engineer's statement, with original seal and signature confirming the project's useful life indicated above and estimated cost.

### **3.0 REPAIR/REPLACEMENT or NEW/EXPANSION:**

**TOTAL PORTION OF PROJECT REPAIR/REPLACEMENT** **\$ 585,000.00**

**TOTAL PORTION OF PROJECT NEW/EXPANSION** **\$           .00**

### **4.0 PROJECT SCHEDULE: \***

	<b>BEGIN DATE</b>	<b>END DATE</b>
<b>4.1 Engineering/Design:</b>	<u>06 / 01 / 08</u>	<u>05 / 30 / 09</u>
<b>4.2 Bid Advertisement and Award:</b>	<u>07 / 01 / 09</u>	<u>07 / 21 / 09</u>
<b>4.3 Construction:</b>	<u>08 / 02 / 09</u>	<u>12 / 31 / 10</u>
<b>4.4 Right-of-Way/Land Acquisition:</b>	<u>      /      /      </u>	<u>      /      /      </u>

\* Failure to meet project schedule may result in termination of agreement for approved projects. Modification of dates must be requested in writing by the CEO of record and approved by the commission once the Project Agreement has been executed. The project schedule should be planned around receiving a Project Agreement on or about July 1st.

### **5.0 APPLICANT INFORMATION:**

#### **5.1 CHIEF EXECUTIVE OFFICER**

Robert Bemmes  
Mayor  
1000 Market Street  
Reading, Ohio 45215  
513-733-3725  
513-733-2077

#### **5.2 CHIEF FINANCIAL OFFICER**

Douglas Sand  
Auditor  
1000 Market Street  
Reading, Ohio 45215  
513-733-3725  
513-733-2077

#### **5.3 PROJECT MANAGER**

Darrell Courtney  
Public Works Director  
1000 Market Street  
Reading, Ohio 45215  
513-733-3725  
513-733-2077

**Changes in Project Officials must be submitted in writing from the CEO**

## 6.0 ATTACHMENTS/COMPLETENESS REVIEW:

Confirm in the blocks [ ] below that each item listed is attached.

- ☒ [X] A certified copy of the legislation by the governing body of the applicant authorizing a designated official to sign and submit this application and execute contracts. This individual should sign under 7.0, Applicant Certification, below.
- ☒ [X] A certification signed by the applicant's chief financial officer stating all local share funds required for the project will be available on or before the dates listed in the Project Schedule section. If the application involves a request for loan (RLP or SCIP), a certification signed by the CFO which identifies a specific revenue source for repaying the loan also must be attached. Both certifications can be accomplished in the same letter.
- ☐ [ ] A cooperation agreement (if the project involves more than one subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- ☒ [X] A registered professional engineer's detailed cost estimate and useful life statement, as required in 164-1-13, 164-1-14, and 164-1-16 of the Ohio Administrative Code. Estimates shall contain an engineer's original seal or stamp and signature, subdivision or district) which identifies the fiscal and administrative responsibilities of each participant.
- ☒ [X] Projects which include new and expansion components and potentially affect productive farmland should include a statement evaluating the potential impact. If there is a potential impact, the Governor's Executive Order 98-VII and the OPWC Farmland Preservation Review Advisory apply.
- ☒ [X] Capital Improvements Report: (Required by O.R.C. Chapter 164.06 on standard form)
- ☒ [X] Supporting Documentation: Materials such as additional project description, photographs, economic impact (temporary and/or full time jobs likely to be created as a result of the project), accident reports, impact on school zones, and other information to assist your district committee in ranking your project. Be sure to include supplements which may be required by your *local* District Public Works Integrating Committee.

## 7.0 APPLICANT CERTIFICATION:

The undersigned certifies that: (1) he/she is legally authorized to request and accept financial assistance from the Ohio Public Works Commission; (2) to the best of his/her knowledge and belief, all representations that are part of this application are true and correct; (3) all official documents and commitments of the applicant that are part of this application have been duly authorized by the governing body of the applicant; and, (4) should the requested financial assistance be provided, that in the execution of this project, the applicant will comply with all assurances required by Ohio Law, including those involving Buy Ohio and prevailing wages.

Applicant certifies that physical construction on the project as defined in the application has NOT begun, and will not begin until a Project Agreement on this project has been executed with the Ohio Public Works Commission. Action to the contrary will result in termination of the agreement and withdrawal of Ohio Public Works Commission funding of the project.

ROBERT BEMMES MAYOR

Certifying Representative (Type or Print Name and Title)

Robert Bemmes 9/3/2008

Signature/Date Signed

## Engineer's Estimate

NORTH & SOUTH KATHWOOD

CITY OF READING

DESCRIPTION	QUANTITY	UNIT	PRICE	COST
Clearing & Grubbing	1	LS	\$ 20,000	\$ 20,000.00
Mill Existing Pavement	8000	SY	\$ 3.00	\$ 24,000.00
Full Depth Repair	2000	SY	\$ 75.00	\$ 150,000.00
Asphalt Concrete - 2-1/2"	600	CY	\$ 160.00	\$ 96,000.00
Drive Aprons	1100	SY	\$ 50.00	\$ 55,000.00
Catch Basin, CB-3	14	EA	\$ 2,000.00	\$ 28,000.00
Curb, Type 6	5640	LF	\$ 15.00	\$ 84,600.00
Construction Layout	1	LS	\$ 20,000.00	\$ 20,000.00
Seeding & Mulching	1000	SY	\$ 5.00	\$ 5,000.00
Undercutting	1	LS	\$ 75,000.00	\$ 75,000.00
Contingencies	1	LS	\$ 27,400.00	\$ 27,400.00
<b>TOTAL ESTIMATED COST</b>				<b>\$ 585,000.00</b>

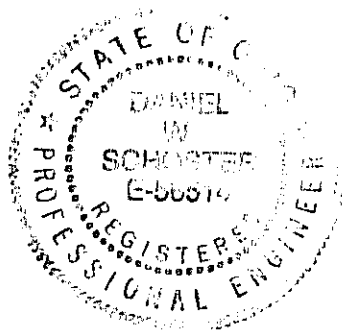
I hereby certify this to be an accurate estimate of  
the proposed project. The useful life of this project  
is 20 years.



Daniel W. Schoster, P.E.  
JMA Consultants, Inc.

7/31/08

Date



ROBERT "BO" BEMMES

*Mayor*

ROBERT P. BOEHNER

*Safety-Service Director*

DAVID T. STEVENSON

*Law Director*

DOUGLAS G. SAND

*Auditor*

MELVIN T. GERTZ

*Treasurer*



**READING**

*The Crossroads of Opportunity*

1000 Market Street

Reading, OH 45215-3283

Phone: 513.733.3725

Fax: 513.733.2077

[www.readingohio.org](http://www.readingohio.org)

**STATUS OF FUNDS CERTIFICATION**

CRIS NESBITT

*President of Council*

ALBERT "BUD" ELMINGER

ROBERT J. ASHBROCK

JAMES PFENNIG

*Council-At-Large*

LEE J. ROTH

*Council Ward 1*

ANTHONY J. GERTZ

*Council Ward 2*

JAMES C. CHAMPLIN

*Council Ward 3*

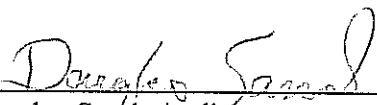
KENNETH NORDIN

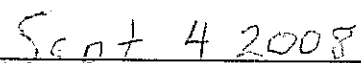
*Council Ward 4*

DENNIS ALBRINCK

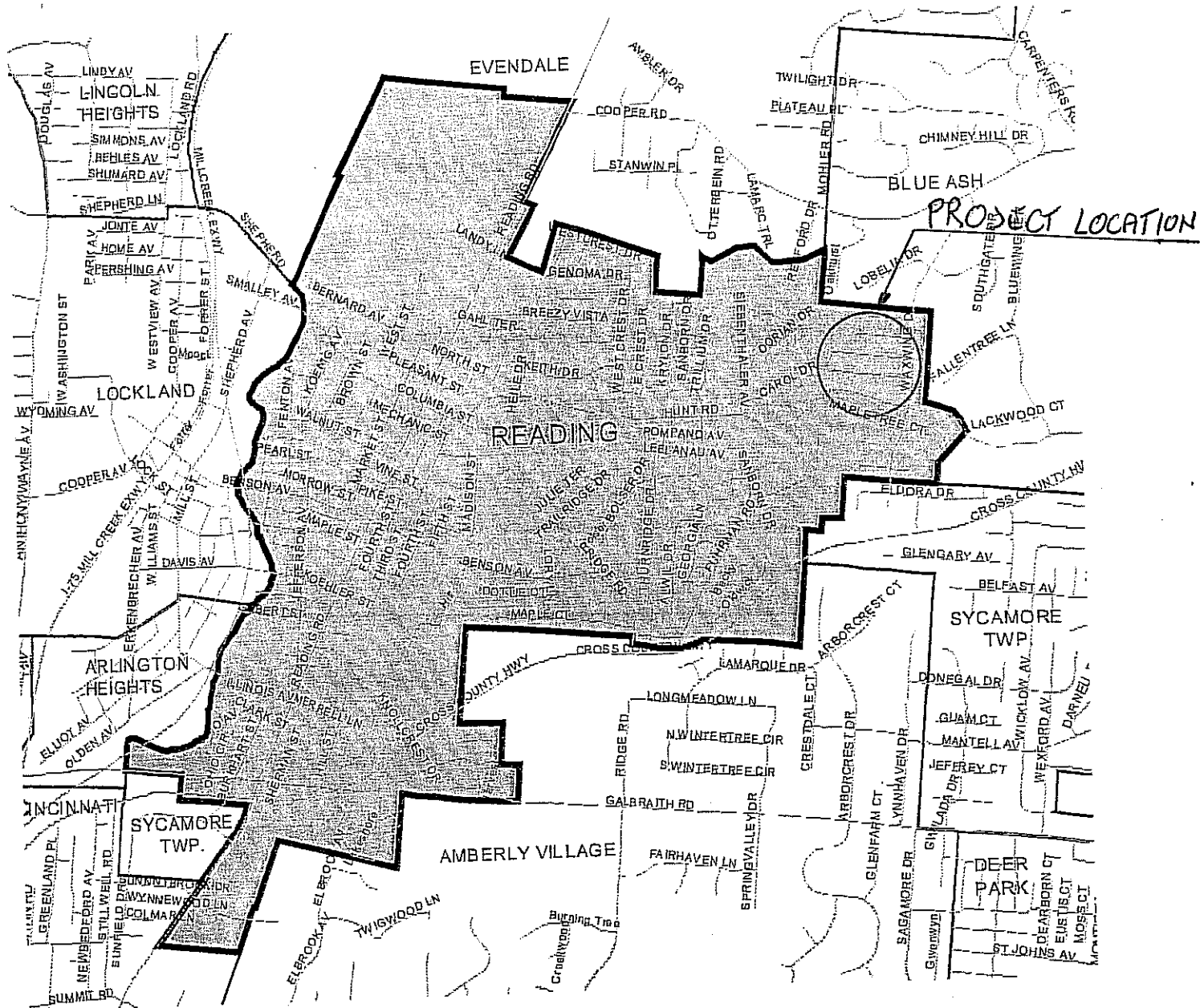
*Clerk Of Council*

The City of Reading will utilize approximately \$292,500.00 from its local budget as its participation for the **North & South Kathwood Improvements project.**

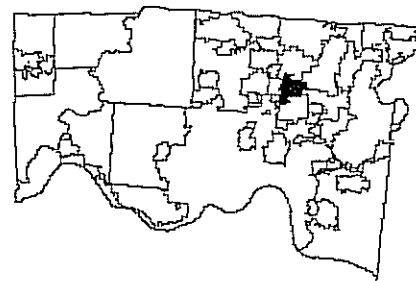
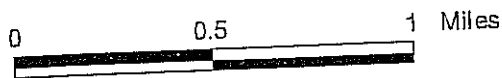
  
\_\_\_\_\_  
Douglas Sand, Auditor  
City of Reading

  
\_\_\_\_\_  
Date Signed





# Reading Hamilton County, Ohio



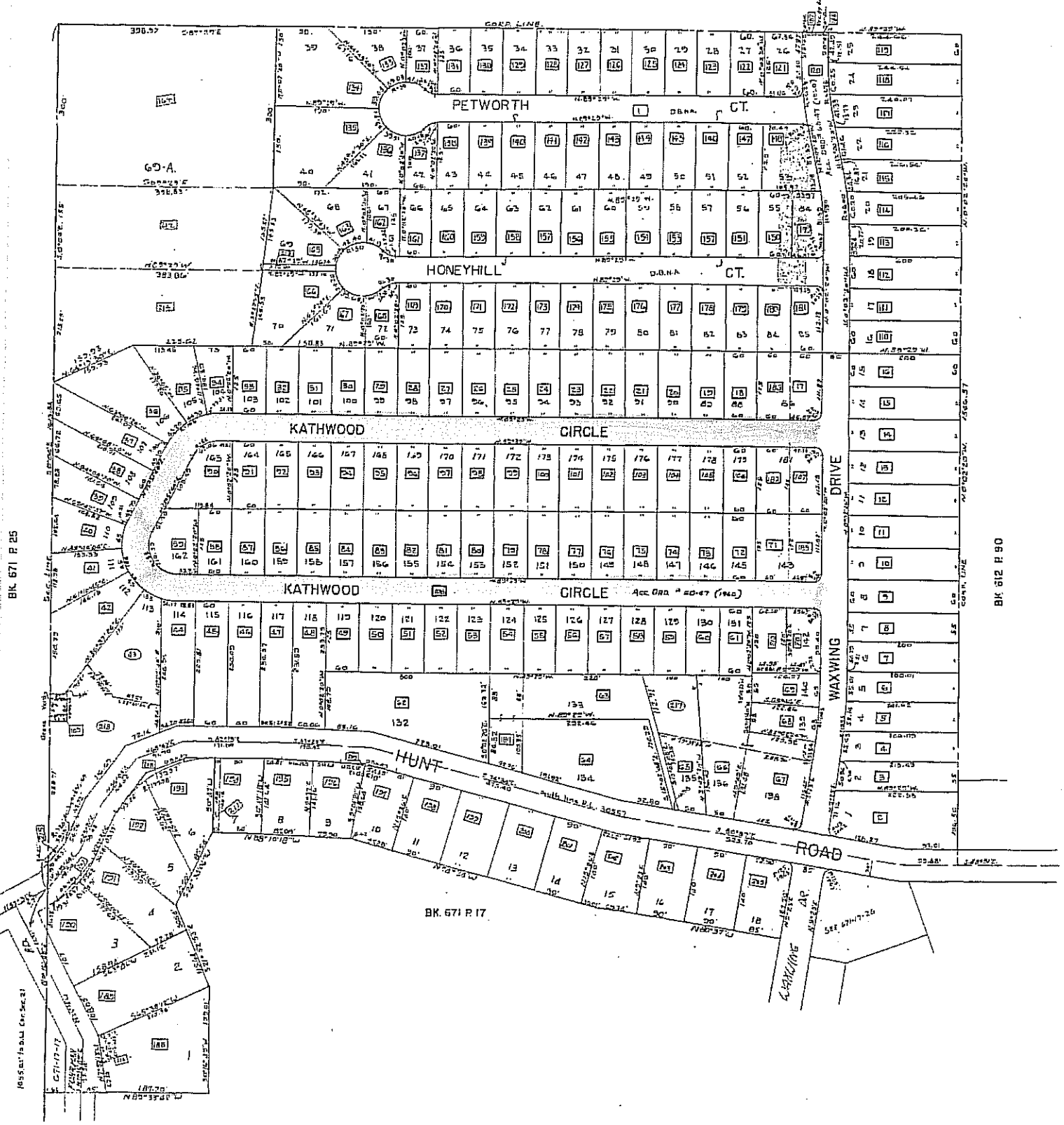
CHERRYFIELD ACRES SUB. SEC. "A" P.B. 5 P. 59 R.D. (REG. LAND)

CHERRYFIELD ACRES SUB. SEC. "B" P.B. 35 & 36 R.D. (REG. LAND)

CHERRYFIELD ACRES SUB. SEC. "C" P.B. 8 P. 3-4 R.D. (REG. LAND)

MAPLEWOOD SUB. BL. A P.B. 17 P. 39-40 R.L.

BK. 612 P. 100



BK. 671 P. 25

BK. 671 P. 17

BK. 612 P. 90

August 24<sup>th</sup>

ROLL CALL STAMP

	Yes	No	Abs
___ Roth	<input checked="" type="checkbox"/>	___	___
___ Gertz	<input checked="" type="checkbox"/>	___	___
<u>(2)</u> ___ Champlin	<input checked="" type="checkbox"/>	___	___
<u>(1)</u> ___ Nordin	<input checked="" type="checkbox"/>	___	___
___ Pfennig	<input checked="" type="checkbox"/>	___	___
___ Ashbrock	<input checked="" type="checkbox"/>	___	___
___ Elmlinger	<input checked="" type="checkbox"/>	___	___

24<sup>th</sup>

ROLL CALL STAMP

	Yes	No	Abs
<u>(2)</u> ___ Roth	<input checked="" type="checkbox"/>	___	___
___ Gertz	<input checked="" type="checkbox"/>	___	___
<u>(1)</u> ___ Champlin	<input checked="" type="checkbox"/>	___	___
___ Nordin	<input checked="" type="checkbox"/>	___	___
___ Pfennig	<input checked="" type="checkbox"/>	___	___
___ Ashbrock	<input checked="" type="checkbox"/>	___	___
___ Elmlinger	<input checked="" type="checkbox"/>	___	___

RESOLUTION # 2008-50 R

A RESOLUTION AUTHORIZING THE SAFETY & SERVICE DIRECTOR TO MAKE APPLICATION FOR FISCAL YEAR 2009 STATE CAPITAL IMPROVEMENT PROGRAM FUNDS AND, IF FUNDS ARE AWARDED, TO EXECUTE GRANT AGREEMENTS ON BEHALF OF THE CITY, AND DECLARING AN EMERGENCY.

WHEREAS, the Council of the City of Reading has determined that it would be in the best interest and to promote the general welfare of the community to apply for 2009 State Capital Improvement Program Funds and, if funds are awarded, to execute a grant agreement or agreements on behalf of the City;

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF READING, STATE OF OHIO:

SECTION I: That the Safety & Service Director is hereby authorized to make application(s) for State Capital Improvement Program (SCIP) funds for fiscal year 2009. The projects will include N. Jefferson Ave., N and S Kathwood Dr., Mechanic and Market St. box culvert, and Trillium Ct.

SECTION II: That, if funds are awarded, the Safety & Service Director is hereby authorized to execute a grant agreement/agreements on behalf of the City.

Adopted this 26<sup>th</sup> day of August, 2008

ATTEST:

[Signature]  
Clerk of Council

[Signature]  
President of Council

Approved 8/26, 2008

[Signature]  
Mayor

Approved as to form:

[Signature]  
David T. Stevenson, Law Director

AN ORDINANCE AMENDING SECTIONS 880.03, 880.04, 880.06, AND 880.15 OF THE CITY OF READING CODIFIED ORDINANCES (ORDINANCE 93-01 ENACTED JANUARY 5, 1993, AS AMENDED IN 2003-127 EFFECTIVE JANUARY 1, 2004) INCREASING THE RATE OF TAX ON EARNED INCOME FROM ONE AND ONE-HALF PERCENT (1 ½%) TO TWO PERCENT (2%) EFFECTIVE FOR THE TAX YEAR ENDING DECEMBER 31, 2006, AND ALL SUBSEQUENT TAX YEARS. THE TWO PERCENT TAX ON EARNED INCOME SHALL BE DIVIDED AND ALLOCATED AS FOLLOWS: ONE AND NINE-TENTHS PERCENT (1 9/10 %) SHALL BE PLACED IN THE GENERAL FUND OF THE CITY OF READING, OHIO TO BE USED FOR ANY PURPOSE PERMITTED BY LAW; AND, ONE TENTH OF ONE PERCENT (1/10%) SHALL BE PLACED IN FUND TO BE ESTABLISHED BY THE CITY TO BE USED SOLELY FOR THE PURPOSES OF ROADWAY AND STREET CONSTRUCTION, RE-PAVING, AND REPAIR.

Be it ordained by the Council of the City of Reading, Ohio:

Section I: Sections 880.03, 880.04, 880.06, and 880.15 of the City of Reading Codified Ordinances, as enacted January 5, 1993 (Ordinance 93-01 as amended in 2003-127 effective January 1, 2004), are hereby amended to increase the tax on earned income imposed by Chapter 880 of the Codified Ordinances from one and on-half percent (1 ½%) to two percent (2%) effective for the tax year ending December 31, 2006 and all subsequent tax years. The above sections, as previously amended, are attached hereto as an exhibit and incorporated herein.

Section II: The two percent tax on earned income shall be divided and allocated as follows: One and nine-tenths percent (1 9/10 %) shall be placed in the General Fund of the City of Reading, Ohio to be used for any purpose permitted by law; and, one-tenth of one percent (1/10%) shall be placed in fund to be established by the City to be used solely for the purposes of roadway and street construction, re-paving, and repair.

Section III: Pursuant to Section 718.01 of the Ohio Revised Code, this Ordinance shall not take effect unless and until it has been submitted to the electors of the City of Reading, Ohio and has obtained the approval of a majority of the electors voting on the question at a general, primary, or special election.

Passed this 14th day of FEBRUARY, 2006.

ATTEST:

Carol Ballack Carpenter  
President of Council

David E Pflanz  
Clerk of Council

Approved FEBRUARY 14, 2006

Approved as to form:

Robert B. Berman  
Mayor

David T. Stevenson  
Law Director

I, David E. Pflanz, Clerk of Council of the City of Reading, Ohio do hereby certify the foregoing Ordinance to be a true and correct copy of Ordinance # 2006-13 passed by the Council of the City of Reading, Ohio at a Special meeting on FEBRUARY 14 2006.

David E Pflanz  
Clerk

1st Reading 2/7/06  
2nd Reading 2/14/06  
3rd Reading 2/14/06

ROLL CALL

	YES	NO	ABS
ROTH	X		
GERTZ	X		
CHAMPLIN	X		
MORDIN	X		
PLETZ	X		
PFENNING	X		
ASHBROCK	X		
YES			
NO			
ABSTAIN			

AN ORDINANCE AUTHORIZING THE AUDITOR TO ESTABLISH  
A SPECIAL FUND TO RECEIVE REVENUE GENERATED BY THE  
EARNINGS TAX (ORDINANCE 2006-13) FOR THE PURPOSE OF  
STREET MAINTENANCE, REPAIR, AND PAVING  
AND DECLARING AN EMERGENCY

Be it ordained by the Council of the City of Reading, Ohio:

Section I: Consistent with Ohio Revised Code Section 5705.12, the Auditor of the City of Reading is hereby authorized to establish a special fund or funds to receive revenue generated by the City of Reading tax on earned income to be used for street maintenance, repair, and paving as required by Ordinance 2006-13. The fund or funds shall bear an identifiable designation(s) as approved by the Auditor. Said fund or funds as established by the Auditor shall continue from year to year and shall be used for only such purposes as permitted under Ordinance 2006-13.

Section II: Transfers into said fund may be made periodically at such times as determined by the Auditor in consultation with the Treasurer. The amount to be transferred shall be 5% of the gross proceeds generated by the tax on earned income during the period, less any refunds paid during the period.

Section III: This Ordinance is declared to be an emergency for the reason that the City of Reading tax on earned income is currently generating revenues that are required to be transferred into the fund or funds. This Ordinance shall take effect immediately upon its passage.

Passed this 20th day of JUNE, 2006.

ATTEST:

David E. Pflanz  
Clerk of Council

Robert Bemmes  
President of Council PRO TEM

Approved JUNE 23, 2006

Robert Bemmes  
Mayor

Approved as to form:

David T. Stevenson  
Law Director

Motion to suspend  
2nd & 3rd Reading

ROLL CALL

	YES	NO	ABS
ROTH	X		
GERTZ	X		
CHAMPLIN	X		
NORDIN	X		
PLETZ	X		
PFENNIG	X		
ASHBROCK	X		
YES	0	0	ABSTAIN

I, David E. Pflanz, Clerk of Council of the City of Reading, Ohio do hereby certify the foregoing Ordinance to be a true and correct copy of Ordinance # 2006-45 passed by the Council of the City of Reading, Ohio at a Regular meeting on JUNE 20 2006.

David E. Pflanz  
Clerk

Motion to  
ADJOURN

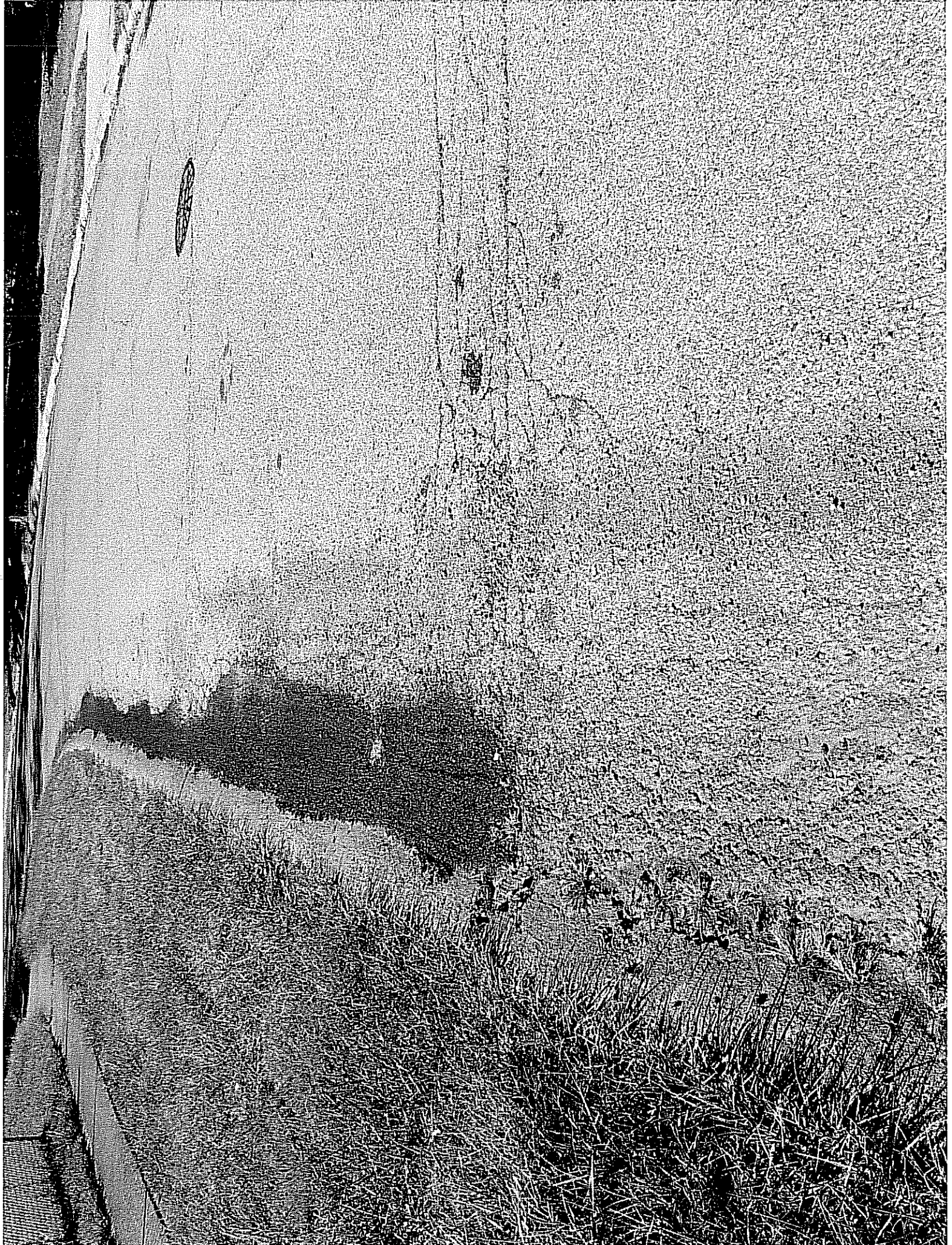
ROLL CALL

	YES	NO	ABS
ROTH	X		
GERTZ	X		
CHAMPLIN	X		
NORDIN	X		
PLETZ	X		
PFENNIG	X		
ASHBROCK	X		
YES	0	0	ABSTAIN

CERTIFICATION OF PUBLICATION

I, David E. Pflanz, Clerk of Council, hereby certify that a true and accurate copy of the following Ordinance was published in a newspaper of general circulation beginning                      20          .

David E. Pflanz  
Clerk of Council  
City of Reading, Ohio



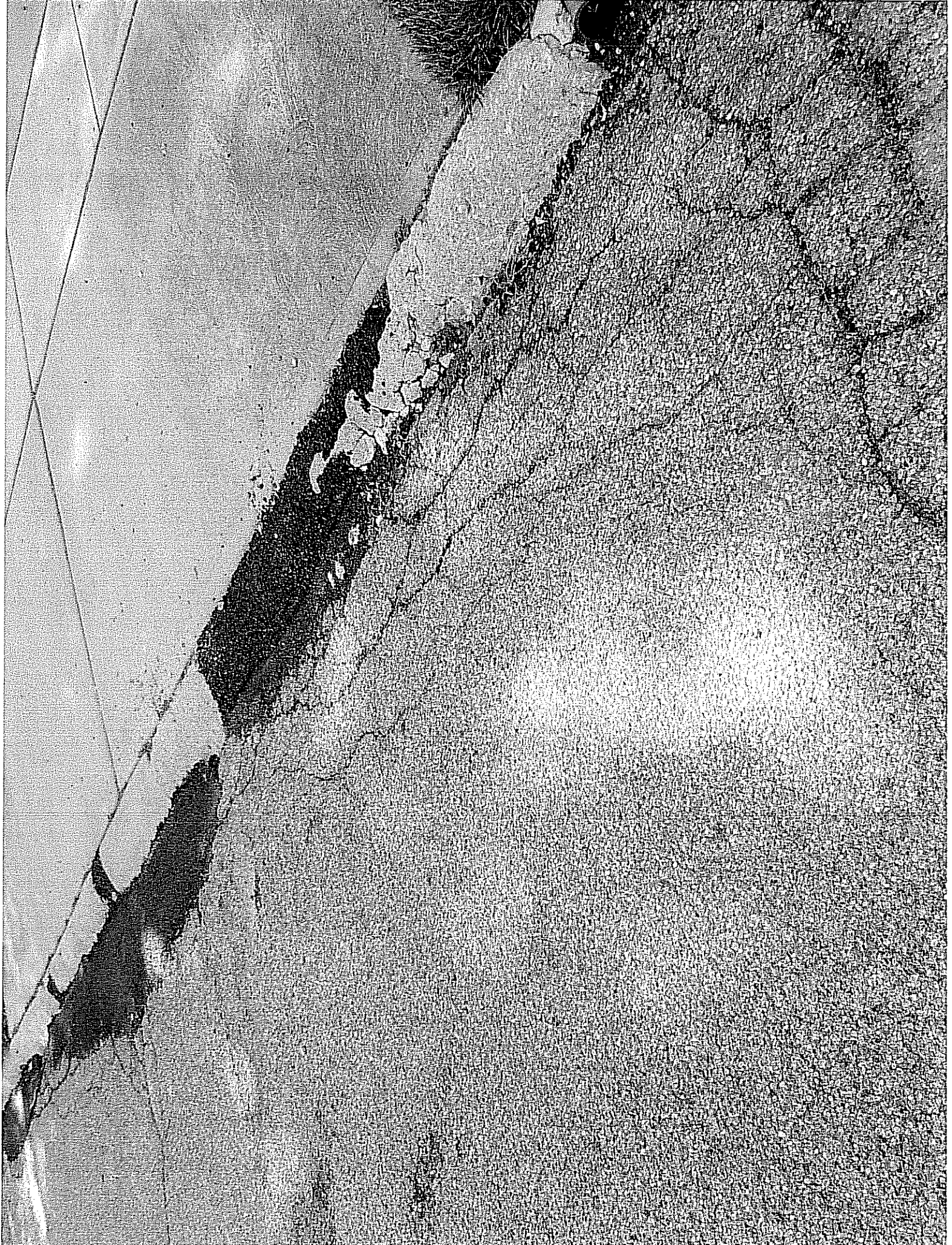
























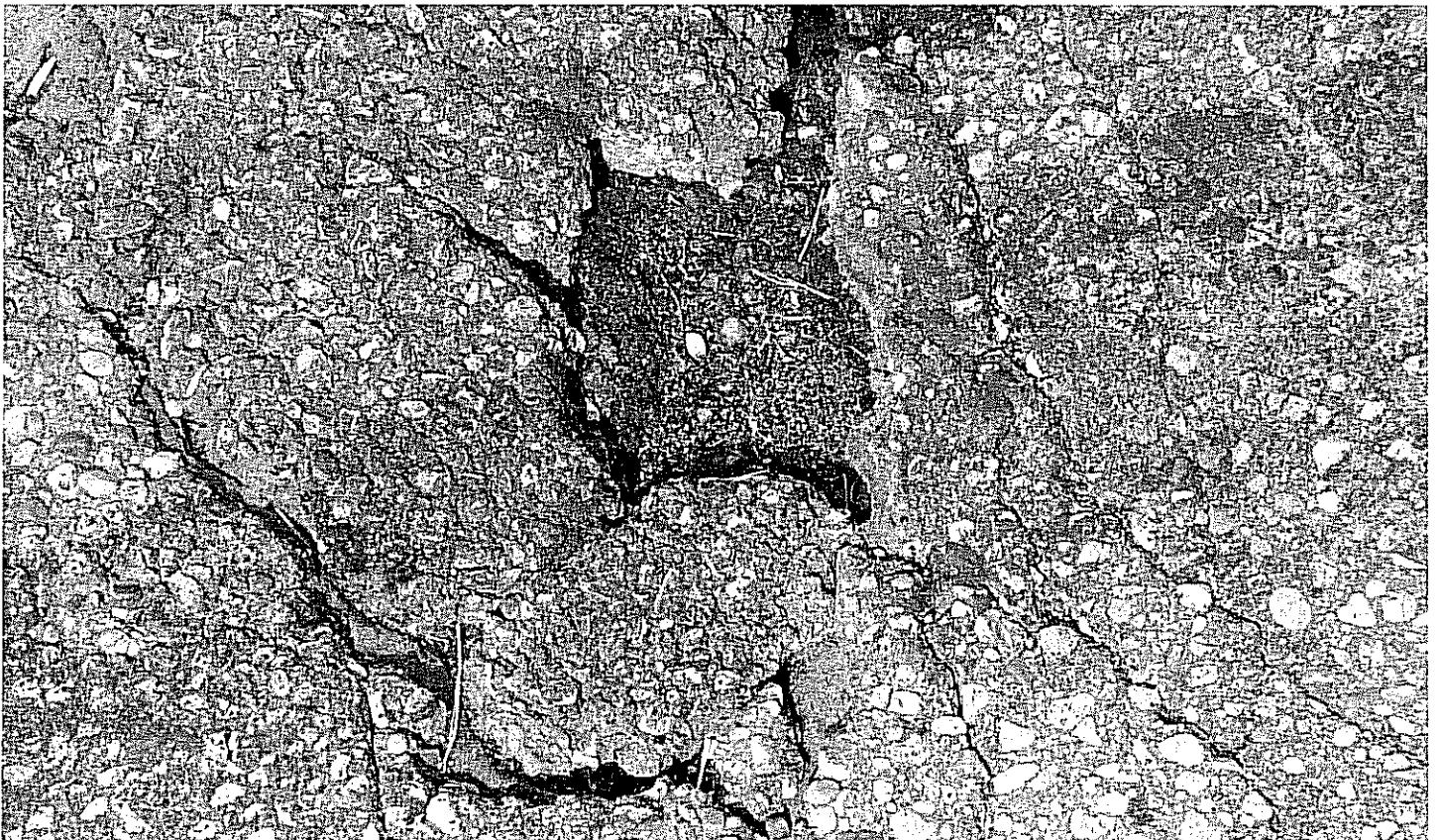




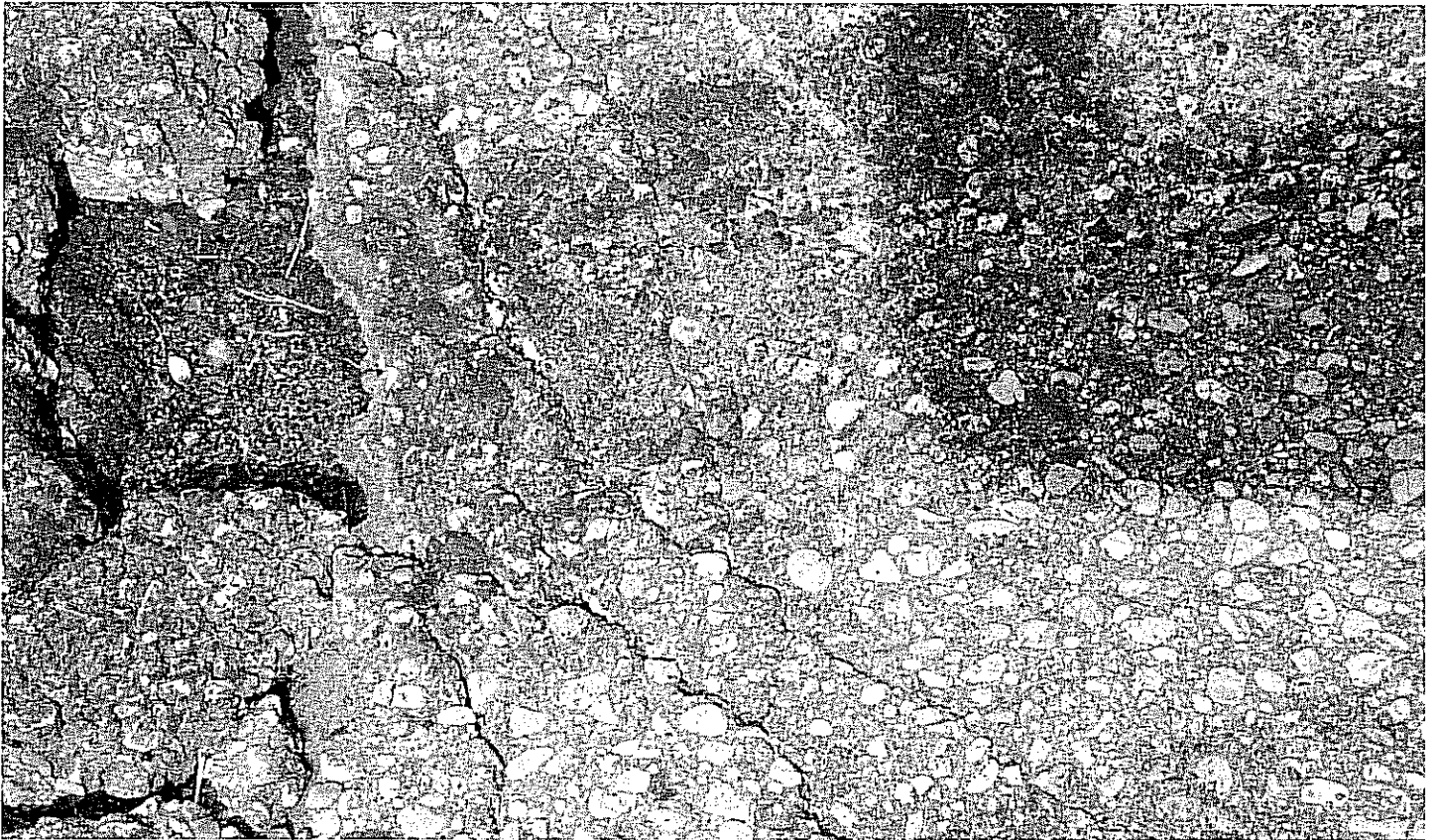




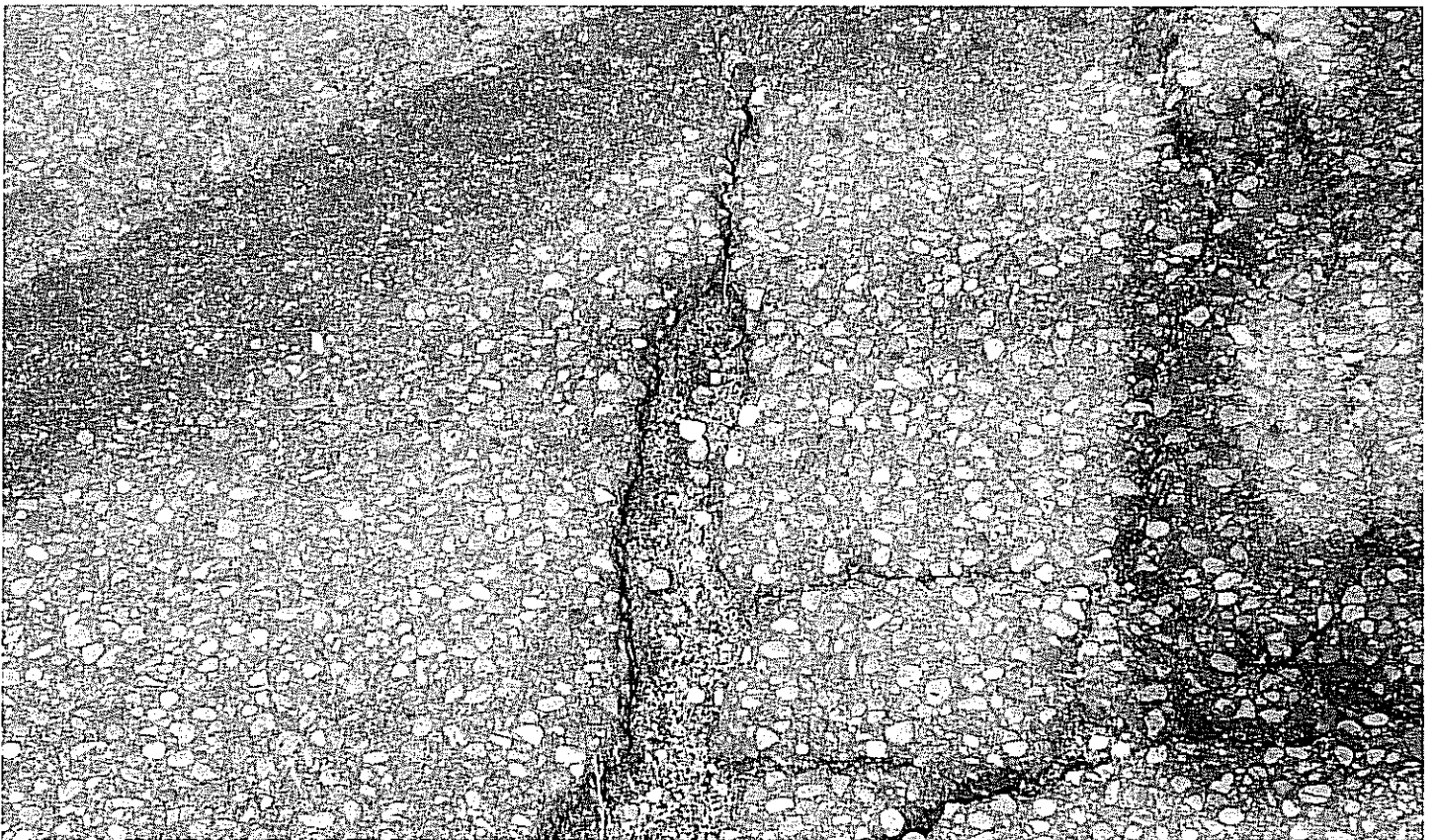
North & South Kathwood  
City of Reading



North & South Kathwood  
City of Reading



North & South Kathwood  
City of Reading



North & South Kathwood  
City of Reading

## ADDITIONAL SUPPORT INFORMATION

For Program Year 2009 (July 1, 2009 through June 30, 2010), jurisdictions shall provide the following support information to help determine which projects will be funded. Information on this form must be accurate, and where called for, based on sound engineering principles. Documentation to substantiate the individual items, as noted, is required. The applicant should also use the rating system and its' addendum as a guide. The examples listed in this addendum are not a complete list, but only a small sampling of situations that may be relevant to a given project.

**IF YOU ARE APPLYING FOR A GRANT, WILL YOU BE WILLING TO ACCEPT A LOAN IF ASKED BY THE DISTRICT?        YES   X   NO (ANSWER REQUIRED)**

Note: Answering "Yes" will not increase your score and answering "NO" will not decrease your score.

### 1) What is the physical condition of the existing infrastructure that is to be replaced or repaired?

Give a statement of the nature of the deficient conditions of the present facility exclusive of capacity, serviceability, health and/or safety issues. If known, give the approximate age of the infrastructure to be replaced, repaired, or expanded. Use documentation (if possible) to support your statement. Documentation may include (but is not limited to): ODOT BR86 reports, pavement management condition reports, televised underground system reports, age inventory reports, maintenance records, etc., and will only be considered if included in the original application.

The existing pavement is 25 years old and the surface is 25 years old. Potholes, base failures, deteriorated curb are numerous throughout the entire length of this project. The condition of the existing curb is such that the entire curb needs to be reconstructed. Extensive full depth repairs will need to be made. The entire roadway will be overlaid.

### 2) How important is the project to the safety of the Public and the citizens of the District and/or service area?

Give a statement of the projects effect on the safety of the service area. The design of the project is intended to reduce existing accident rate, promote safer conditions, and reduce the danger of risk, liability or injury. (Typical examples may include the effects of the completed project on accident rates, emergency response time, fire protection, and highway capacity.) Please be specific and provide documentation if necessary to substantiate the data. The applicant must demonstrate the type of problems that exist, the frequency and severity of the problems and the method of correction.

No Effect

### 3) How important is the project to the health of the Public and the citizens of the District and/or service area?

Give a statement of the projects effect on the health of the service area. The design of the project will improve the overall condition of the facility so as to reduce or eliminate potential for disease, or correct concerns regarding the environmental health of the area. (Typical examples may include the effects of the completed project by improving or adding storm drainage or sanitary facilities, replacing lead jointed water lines, etc.). Please be specific and provide documentation if necessary to substantiate the data. The applicant must demonstrate the type of problems that exist, the frequency and severity of the problems and the method of correction.

No Effect



4) Does the project help meet the infrastructure repair and replacement needs of the applying jurisdiction?

The applying agency must submit a listing in priority order of the projects for which it is applying. Points will be awarded on the basis of most to least importance.

Priority 1 North & South Kathwood

Priority 2 Mechanic & Market Street Box Culvert

Priority 3 Jefferson Avenue

Priority 4 Trillium Court

Priority 5 \_\_\_\_\_

5) To what extent will the user fee funded agency be participating in the funding of the project?

(example: rates for water or sewer, frontage assessments, etc.).

No participation - Zero (0) %

6) Economic Growth – How will the completed project enhance economic growth

Give a statement of the projects effect on economic growth (be specific).

The project will not have a significant impact on economic growth.

7) Matching Funds - LOCAL

The information regarding local matching funds is to be filed by the applicant in Section 1.2 (b) of the Ohio Public Works Association's "Application For Financial Assistance" form.

8) Matching Funds - OTHER

The information regarding local matching funds is to be filed by the applicant in Section 1.2 (c) of the Ohio Public Works Association's "Application For Financial Assistance" form. If MRF funds are being used for matching funds, the MRF application must have been filed by Friday, August 29, 2008 for this project with the Hamilton County Engineer's Office. List below all "other" funding the source(s).

Local funding is utilized for matching funds for this project.

9) Will the project alleviate serious traffic problems or hazards or respond to the future level of service needs of the district?

Describe how the proposed project will alleviate serious traffic problems or hazards (be specific).

No effect on level of service

Level of Service (LOS) calculations shall be for the improvements being made in the application. If this project is a phase of a larger project then any preceding phases shall be considered conditions for LOS calculations. Any future project phases shall not be considered as part of this applications LOS calculations.

For roadway betterment projects, provide the existing and proposed Level of Service (LOS) of the facility using the methodology outlined within AASHTO'S "Geometric Design of Highways and Streets" and the 1985 Highway Capacity Manual.

No Build

Current Year LOS \_\_\_\_\_  
Design Year LOS \_\_\_\_\_

Proposed Geometry

Current Year LOS \_\_\_\_\_  
Design Year LOS \_\_\_\_\_

If the proposed design year LOS is not "C" or better, explain why LOS "C" cannot be achieved.

10) If SCIP/LTIP funds were granted, when would the construction contract be awarded?

If SCIP/LTIP funds are awarded, how soon after receiving the Project Agreement from OPWC (tentatively set for July 1 of the year following the deadline for applications) would the project be under contract? The Support Staff will review status reports of previous projects to help judge the accuracy of a jurisdiction's anticipated project schedule.

Number of months 2

a.) Are preliminary plans or engineering completed? Yes x No \_\_\_\_\_ N/A \_\_\_\_\_

b.) Are detailed construction plans completed? Yes \_\_\_\_\_ No x N/A \_\_\_\_\_

c.) Are all utility coordination's completed? Yes \_\_\_\_\_ No x N/A \_\_\_\_\_

d.) Are all right-of-way and easements acquired (if applicable)?

Yes \_\_\_\_\_ No \_\_\_\_\_ N/A x

If no, how many parcels needed for project? \_\_\_\_\_ Of these, how many are: Takes \_\_\_\_\_  
Temporary \_\_\_\_\_  
Permanent \_\_\_\_\_

For any parcels not yet acquired, explain the status of the ROW acquisition process for this project.

e.) Give an estimate of time needed to complete any item above not yet completed. 4 Months.

**11) Does the infrastructure have regional impact?**

Give a brief statement concerning the regional significance of the infrastructure to be replaced, repaired, or expanded.

This will affect the residents of the City of Reading

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**12) What is the overall economic health of the jurisdiction?**

The District 2 Integrating Committee predetermines the jurisdiction's economic health. The economic health of a jurisdiction may periodically be adjusted when census and other budgetary data are updated.

**13) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure?**

Describe what formal action has been taken which resulted in a ban of the use of or expansion of use for the involved infrastructure? Typical examples include weight limits, truck restrictions, and moratoriums or limitations on issuance of building permits, etc. The ban must have been caused by a structural or operational problem to be considered valid. Submission of a copy of the approved legislation would be helpful.

No ban

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Will the ban be removed after the project is completed? Yes ☐ No ☐ N/A ☒

**14) What is the total number of existing daily users that will benefit as a result of the proposed project?**

For roads and bridges, multiply current Average Daily Traffic (ADT) by 1.20. For inclusion of public transit, submit documentation substantiating the count. Where the facility currently has any restrictions or is partially closed, use documented traffic counts prior to the restriction. For storm sewers, sanitary sewers, water lines, and other related facilities, multiply the number of households in the service area by 4. User information must be documented and certified by a professional engineer or the jurisdictions' C.E.O.

Traffic: ADT 650 X 1.20 = 780 Users

Water/Sewer: Homes \_\_\_\_\_ X 4.00 = \_\_\_\_\_ Users

**15) Has the jurisdiction enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or dedicated tax for the pertinent infrastructure?**

The applying jurisdiction shall list what type of fees, levies or taxes they have dedicated toward the type of infrastructure being applied for. (Check all that apply)

Optional \$5.00 License Tax ☒

Infrastructure Levy \_\_\_\_\_ Specify type \_\_\_\_\_

Facility Users Fee \_\_\_\_\_ Specify type \_\_\_\_\_

Dedicated Tax ☒ Specify type Portion of income tax dedicated to road improvements

(legislation attached)

Other Fee, Levy or Tax \_\_\_\_\_ Specify type \_\_\_\_\_

**SCIP/LTIP PROGRAM  
ROUND 23 - PROGRAM YEAR 2009  
PROJECT SELECTION CRITERIA  
JULY 1, 2009 TO JUNE 30, 2010**

NAME OF APPLICANT: REANNING  
NAME OF PROJECT: NORTH SOUTH KATWOOD  
RATING TEAM: 2

**General Statement for Rating Criteria**

Points awarded for all items will be based on engineering experience, field verification, application information and other information supplied by the applying agency, which is deemed to be relevant by the Support Staff. The examples listed in this addendum are not a complete list, but only a small sampling of situations that may be relevant to a given project.

**CIRCLE THE APPROPRIATE RATING**

1) What is the physical condition of the existing infrastructure that is to be replaced or repaired?

- 25 - Failed
- 23 - Critical
- 20 - Very Poor
- 17 - Poor
- ☒ 15 - Moderately Poor
- 10 - Moderately Fair
- 5 - Fair Condition
- 0 - Good or Better

*MINOR FULL DEPTH*

Appeal Score

17

**Criterion 1 - Condition**

Condition of the particular infrastructure to be repaired, reconstructed or replaced shall be a measure of the degree of reduction in condition from its original state. Historic pavement management data based on ASTM D6433-99 rating system may be submitted as documentation. Capacity, serviceability, safety and health shall not be considered in this criterion. Any documentation the Applicant wishes to be considered must be included in the application package.

**Definitions:**

**Failed Condition** - requires complete reconstruction where no part of the existing facility is salvageable. (E.g. Roads: complete reconstruction of roadway, curbs and base; Bridges: complete removal and replacement of bridge; Underground: removal and replacement of an underground drainage or water system.

**Critical Condition** - requires partial reconstruction to maintain integrity. (E.g. Roads: reconstruction of roadway/curbs can be saved; Bridges: removal and replacement of bridge with abutment modification; Underground: removal and replacement of part of an underground drainage or water system.

**Very Poor Condition** - requires extensive rehabilitation to maintain integrity. (E.g. Roads: extensive full depth, partial depth and curb repair of a roadway with a structural overlay; Bridges: superstructure replacement; Underground: repair of joints and/or replacement of pipe sections.

**Poor Condition** - requires standard rehabilitation to maintain integrity. (E.g. Roads: moderate full depth, partial depth and curb repair to a roadway with no structural overlay needed or structural overlay with minor repairs to a roadway needed; Bridges: extensive patching of substructure and replacement of deck; Underground: insituform or other in ground repairs.

**Moderately Poor Condition** - requires minor rehabilitation to maintain integrity. (E.g. Roads: minor full depth, partial depth or curb repairs to a roadway with either a thin overlay or no overlay needed; Bridges: major structural patching and/or major deck repair.

**Moderately Fair Condition** - requires extensive maintenance to maintain integrity. (E.g. Roads: thin or no overlay with extensive crack sealing, minor partial depth and/or slurry or rejuvenation; Bridges: minor structural patching, deck repair, erosion control.)

**Fair Condition** - requires routine maintenance to maintain integrity. (E.g. Roads: slurry seal, rejuvenation or routine crack sealing to the roadway; Bridges: minor structural patching.)

**Good or Better Condition** - little to no maintenance required to maintain integrity.

**Note:** If the infrastructure is in "good" or better condition, it will **NOT** be considered for SCIP/LTIP funding unless it is an expansion project that will improve serviceability.

2) How important is the project to the safety of the Public and the citizens of the District and/or service area?

- 25 - Highly significant importance
- 20 - Considerably significant importance
- 15 - Moderate importance
- 10 - Minimal importance
- 5 - Poorly documented importance
- 0 - No measurable impact

Appeal Score

\_\_\_\_\_

**Criterion 2 – Safety**

The applying agency shall include in its application the type of deficiency that currently exists and how the intended project would improve the situation. For example, have there been vehicular accidents attributable to the problems cited? Have they involved injuries or fatalities? In the case of water systems, are existing hydrants non-functional? In the case of water lines, is the present capacity inadequate to provide volumes or pressure for adequate fire protection? **In all cases, specific documentation is required.** Mentioned problems, which are poorly documented, generally will not receive more than 5 points.

**Note:** Each project is looked at on an individual basis to determine if any aspects of this category apply. **Examples given above are NOT intended to be exclusive.**

3) How important is the project to the health of the Public and the citizens of the District and/or service area?

- 25 - Highly significant importance
- 20 - Considerably significant importance
- 15 - Moderate importance
- 10 - Minimal importance
- 5 - Poorly documented importance
- 0 - No measurable impact

Appeal Score

\_\_\_\_\_

**Criterion 3 – Health**

The applying agency shall include in its application the type, frequency, and severity of the health problem that would be eliminated or reduced by the intended project. For example, can the problem be eliminated only by the project, or would routine maintenance be satisfactory? If basement flooding has occurred, was it storm water or sanitary flow? What complaints if any are recorded? In the case of underground improvements, how will they improve health if they are storm sewers? How would improved sanitary sewers improve health or reduce health risk? **In all cases, quantified documentation is required.** Mentioned problems, which are poorly documented, generally will not receive more than 5 points.

**Note:** Each project is looked at on an individual basis to determine if any aspects of this category apply. **Examples given above are NOT intended to be exclusive.**

4) Does the project help meet the infrastructure repair and replacement needs of the applying agency?

**Note:** Applying agency's priority listing (part of the Additional Support Information) must be filed with application(s).

- 25 - First priority project
- 20 - Second priority project
- 15 - Third priority project
- 10 - Fourth priority project
- 5 - Fifth priority project or lower

Appeal Score

\_\_\_\_\_

**Criterion 4 – Jurisdiction's Priority Listing**

The applying agency **must** submit a listing in priority order of the projects for which it is applying. Points will be awarded on the basis of most to least importance. The form is included in the Additional Support Information.

5) To what extent will a user fee funded agency be participating in the funding of the project?

- ☒ 10 - Less than 10%  
9 - 10% to 19.99%  
8 - 20% to 29.99%  
7 - 30% to 39.99%  
6 - 40% to 49.99%  
5 - 50% to 59.99%  
4 - 60% to 69.99%  
3 - 70% to 79.99%  
2 - 80% to 89.99%  
1 - 90% to 95%  
0 - Above 95%

Appeal Score

\_\_\_\_\_

**Criterion 5 – User Fee-funded Agency Participation**

To what extent will a user fee funded agency be participating in the funding of the project? (Example: rates for water or sewer, frontage assessments, etc.). The applying agency must submit documentation.

6) Economic Growth – How the completed project will enhance economic growth (See definitions).

- 10 – The project will directly secure new employment  
5 – The project will permit more development  
☒ 0 – The project will not impact development

Appeal Score

\_\_\_\_\_

**Criterion 6 – Economic Growth**

Will the completed project enhance economic growth and/or development ☐?

**Definitions:**

**Secure new employment:** The project as designed will secure development/employers, which will immediately add new permanent employees ☐. The applying agency must submit details.

**Permit more development:** The project as designed will permit additional business development/employment. The applying agency must supply details.

**The project will not impact development:** The project will have no impact on business development.

**Note:** Each project is looked at on an individual basis to determine if any aspects of this category apply.

7) Matching Funds - **LOCAL**

- 10 - This project is a loan or credit enhancement  
☒ 10 - 50% or higher  
8 - 40% to 49.99%  
6 - 30% to 39.99%  
4 - 20% to 29.99%  
2 - 10% to 19.99%  
0 - Less than 10%

List total percentage of "Local" funds 50%

**Criterion 7 – Matching Funds – Local**

The percentage of matching funds which come directly from the budget of the applying agency. Ten points shall be awarded if a loan request is at least 50% of the total project cost. (If the applying agency is not a user fee funded agency, any funds to be provided by a user fee generating agency will be considered "Matching Funds – Other").

8) Matching Funds – **OTHER** List total percentage of “Other” funds 0 %

- 10 – 50% or higher
- 8 – 40% to 49.99%
- 6 – 30% to 39.99%
- 4 – 20% to 29.99%
- 2 – 10% to 19.99%
- 1 – 1% to 9.99%
- 0** - Less than 1%

List below each funding source and percentage

_____	_____ %
_____	_____ %
_____	_____ %
_____	_____ %
_____	_____ %

**Criterion 8 – Matching Funds - Other**

The percentage of matching funds that come from funding sources other than those mentioned in Criterion 7. A letter from the outside funding agency stating their financial participation in the project and the amount of funding is required to receive points. For MRF, a copy of the current application form filed with the Hamilton County Engineer’s Office meets the requirement.

9) Will the project alleviate serious capacity problems or hazards or respond to the future level of service needs of the district?

- 10 - Project design is for future demand.

8 - Project design is for partial future demand.

6 - Project design is for current demand.

4 - Project design is for minimal increase in capacity.

**0** - Project design is for no increase in capacity.
- Appeal Score  
\_\_\_\_\_

**Criterion 9 – Alleviate Capacity Problems**

The applying agency shall provide a narrative, along with pertinent support documentation, which describe the existing deficiencies and showing how congestion will be reduced or eliminated and how service will be improved to meet the needs of any expected growth or development. A formal capacity analysis must accompany the application to receive more than 4 points. Projected traffic or demand should be calculated as follows:

**Formula:**

Existing volume x design year factor = projected volume

Design Year	Design year factor		
	Urban	Suburban	Rural
20	1.40	1.70	1.60
10	1.20	1.35	1.30

**Definitions:**

**Future demand** – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service for twenty-year projected demand or fully developed area conditions. Justification must be supplied if the area is already largely developed or undevelopable and thus the projection factors used deviate from the above table.

**Partial future demand** – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service for ten-year projected demand or partially developed area conditions. Justification must be supplied if the area is already largely developed or undevelopable and thus the projection factors used deviate from the above table.

**Current demand** – Project will eliminate existing congestion or deficiencies and will provide sufficient capacity or service only for existing demand and conditions.

**Minimal increase** – Project will reduce but not eliminate existing congestion or deficiencies and will provide a minimal but less than sufficient increase in existing capacity or service for existing demand and conditions.

**No increase** – Project will have no effect on existing congestion or deficiencies and provide no increase in capacity or service for existing demand and conditions.

**10) Readiness to Proceed - If SCIP/LTIP funds are granted, when would the construction contract be awarded?**

- 5 - Will be under contract by December 31, 2009 and no delinquent projects in Rounds 20 & 21**  
**3 - Will be under contract by March 31, 2010 and/or one delinquent project in Rounds 20 & 21**  
**0 - Will not be under contract by March 31, 2010 and/or more than one delinquent project in Rounds 20 & 21**

**Criterion 10 – Readiness to Proceed**

The Support Staff will assign points based on engineering experience and status of design plans. A project is considered delinquent when it has not received a notice to proceed within the time stated on the original application and no time extension has been granted by the OPWC. An applying agency receiving approval for a project and subsequently canceling the same after the bid date on the application will receive zero (0) points under this round and the following round.

**11) Does the infrastructure have regional impact? Consider origination and destination of traffic, functional classifications, size of service area, and number of jurisdictions served, etc.**

**10 – Major Impact**

**Appeal Score**

**8 – Significant Impact**

**6 – Moderate Impact**

**4 – Minor Impact**

**2 – Minimal or No Impact**

**Criterion 11 - Regional Impact**

The regional significance of the infrastructure that is being repaired or replaced.

**Definitions:**

**Major Impact – Roads: Major Arterial:** A direct connector to an Interstate Highway; Arterials are intended to provide a greater degree of mobility rather than land access. Arterials generally convey large traffic volumes for distances greater than one mile. A major arterial is a highway that is of regional importance and is intended to serve beyond the county. It may connect urban centers with one another and/or with outlying communities and employment or shopping centers. A major arterial is intended primarily to serve through traffic.

**Significant Impact – Roads: Minor Arterial:** A roadway, also serving through traffic, that is similar in function to a major arterial, but operates with lower traffic volumes, serves trips of shorter distances (but still greater than one mile), and may provide a higher degree of property access than do major arterials.

**Moderate Impact – Roads: Major Collector:** A roadway that provides for traffic movement between local roads/streets and arterials or community-wide activity centers and carries moderate traffic volumes over moderate distances (generally less than one mile). Major collectors may also provide direct access to abutting properties, such as regional shopping centers, large industrial parks, major subdivisions and community-wide recreational facilities, but typically not individual residences. Most major collectors are also county roads and are therefore through streets.

**Minor Impact – Roads: Minor Collector:** A roadway similar in functions to a major collector but which carries lower traffic volumes over shorter distances and has a higher degree of property access. Minor collectors may serve as main circulation streets within large, residential neighborhoods. Most minor collectors are also township roads and streets and may, or may not, be through streets.

**Minimal or No Impact - Roads: Local:** A roadway that is primarily intended to provide access to abutting properties. It tends to accommodate lower traffic volumes, serves short trips (generally within neighborhoods), and provides connections preferably only to collector streets rather than arterials.



12) What is the overall economic health of the jurisdiction?

- 10 Points
- 8 Points
- ☒ 6 Points
- 4 Points
- 2 Points

**Criterion 12 – Economic Health**  
The District 2 Integrating Committee predetermines the applying agency’s economic health. The economic health of a jurisdiction may periodically be adjusted when census and other budgetary data are updated.

13) Has any formal action by a federal, state, or local government agency resulted in a partial or complete ban of the usage or expansion of the usage for the involved infrastructure?

- |   |              |
|---|--------------|
| 10 - Complete ban, facility closed  | Appeal Score |
| 8 – 80% reduction in legal load or 4-wheeled vehicles only                      |              |
| 7 – Moratorium on future development, <i>not</i> functioning for current demand | _____        |
| 6 – 60% reduction in legal load   |              |
| 5 - Moratorium on future development, functioning for current demand            |              |
| 4 – 40% reduction in legal load   |              |
| 2 – 20% reduction in legal load   |              |
| <input checked="" type="radio"/> 0 – Less than 20% reduction in legal load      |              |

**Criterion 13 - Ban**  
The applying agency shall provide documentation to show that a facility ban or moratorium has been formally placed. The ban or moratorium must have been caused by a structural or operational problem. Points will only be awarded if the end result of the project will cause the ban to be lifted.

14) What is the total number of existing daily users that will benefit as a result of the proposed project?

- |  |              |
|--|--------------|
| 10 - 30,000 or more                                  | Appeal Score |
| 8 - 21,000 to 29,999                                 |              |
| 6 - 12,000 to 20,999                                 | _____        |
| 4 - 3,000 to 11,999                                  |              |
| <input checked="" type="radio"/> 2 - 2,999 and under |              |

**Criterion 14 - Users**  
The applying agency shall provide documentation. A registered professional engineer or the applying agency’s C.E.O must certify the appropriate documentation. Documentation may include current traffic counts, households served, when converted to a measurement of persons. Public transit users are permitted to be counted for the roads and bridges, but only when certifiable ridership figures are provided.

15) Has the applying agency enacted the optional \$5 license plate fee, an infrastructure levy, a user fee, or dedicated tax for the pertinent infrastructure? *(Provide documentation of which fees have been enacted.)*

- |   |              |
|---|--------------|
| <input checked="" type="radio"/> 5 - Two or more of the above | Appeal Score |
| 3 - One of the above  |              |
| 0 - None of the above   | _____        |

**Criterion 15 – Fees, Levies, Etc.**  
The applying agency shall document (in the “Additional Support Information” form) which type of fees, levies or taxes they have dedicated toward the type of infrastructure being applied for.